



How independent would you like to be?



Down and out

Unless you toe the compliance line you too could be 'down and out'.

Closed down and out of business!

The FSA, with its formidable powers, can force the closure of any IFA who does not meet the required compliance, training and competence standards. Recovery can be a very costly, tortuous and lengthy process.

Practical solutions to complex problems

If you take on this task yourself then the real cost of allocating the necessary internal resource is likely to be very high and such resources would be more profitably employed elsewhere.

But help is at hand because Status Management Services Ltd specialises in the compliance, training and competence field and is adept at implementing practical solutions to complex problems. Status can demonstrate how compliance, training and competence processes and, where required, registration to the national Investors in People standard can be used to deliver real business benefits.

The compliance minefield

The ever growing and evolving number of regulations and requirements justifiably deserves the term “compliance minefield” and your problems are compounded by an adversarial financial press which is quick to over-publicise any transgression, even if only alleged.

The weight and complexity of compliance requirements just grows and grows, as does the cost of keeping abreast of them all, particularly where they concern training and competence issues.

Monitoring your own performance is a vital requirement, but just how much does that take your eye off the ball? So your new business drive suffers and maybe client service as well – and, ironically, guess who your unhappy clients will complain to first?

Business benefits

Status can help you realise the business benefits by working to the national Investors in People standard which solves your compliance, training and competence issues and delivers the following benefits:

- Increased bottom line results
- Improved productivity
- Improved staff performance
- Reduced staff turnover
- Improved customers service
- Strengthened business management

All of which is likely to attract a larger client base and result in increased revenues and profitability.



Why Status?

Our reputation has been built on developing practical solutions to complex problems. Let Status clarify your people processes and compliance requirements and ensure you realise the above benefits.



S T A T I U S
M A N A G E M E N T S E R V I C E S

M a k i n g l i g h t w o r k

*If you would like to see the light, simply call Mark Woods on
020 8460 3345 for an initial **free** consultation.*

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SPECIALISTS IN COMPLIANCE AND INVESTORS IN PEOPLE
FOR THE FINANCIAL SERVICES SECTOR